

FINRA SERIES 99 PRACTICE EXAM

FINRA SERIES 99 PRACTICE EXAM IS AN ESSENTIAL RESOURCE FOR INDIVIDUALS PREPARING TO TAKE THE FINANCIAL INDUSTRY REGULATORY AUTHORITY (FINRA) SERIES 99 EXAM, ALSO KNOWN AS THE OPERATIONS PROFESSIONAL QUALIFICATION EXAM. THIS EXAM IS CRITICAL FOR PROFESSIONALS WORKING IN THE OPERATIONS AREA OF BROKER-DEALERS, ENSURING THEY POSSESS THE KNOWLEDGE TO PERFORM OPERATIONAL FUNCTIONS EFFICIENTLY AND COMPLIANTLY. THE PRACTICE EXAM OFFERS A COMPREHENSIVE WAY TO FAMILIARIZE ONESELF WITH THE TEST FORMAT, QUESTION TYPES, AND SUBJECT MATTER COVERED IN THE ACTUAL SERIES 99 EXAM. CANDIDATES CAN LEVERAGE THIS TOOL TO ASSESS THEIR READINESS, IDENTIFY KNOWLEDGE GAPS, AND IMPROVE THEIR TEST-TAKING STRATEGIES. THIS ARTICLE EXPLORES THE IMPORTANCE OF THE FINRA SERIES 99 PRACTICE EXAM, KEY TOPICS COVERED, STUDY TIPS, AND RESOURCES AVAILABLE FOR EFFECTIVE PREPARATION. UNDERSTANDING THESE ASPECTS WILL HELP CANDIDATES APPROACH THE EXAM WITH CONFIDENCE AND INCREASE THEIR CHANCES OF SUCCESS.

- OVERVIEW OF THE FINRA SERIES 99 EXAM
- IMPORTANCE OF THE FINRA SERIES 99 PRACTICE EXAM
- KEY TOPICS COVERED IN THE SERIES 99 EXAM
- EFFECTIVE STUDY STRATEGIES FOR THE SERIES 99 EXAM
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OVERVIEW OF THE FINRA SERIES 99 EXAM

THE FINRA SERIES 99 EXAM IS DESIGNED TO TEST THE COMPETENCY OF OPERATIONS PROFESSIONALS WORKING WITHIN BROKER-DEALER FIRMS. PASSING THIS EXAM QUALIFIES CANDIDATES TO PERFORM OPERATIONAL FUNCTIONS SUCH AS PROCESSING SECURITIES TRANSACTIONS, HANDLING CUSTOMER ACCOUNTS, AND ENSURING COMPLIANCE WITH REGULATORY REQUIREMENTS. THE EXAM TYPICALLY CONSISTS OF 50 MULTIPLE-CHOICE QUESTIONS THAT MUST BE COMPLETED WITHIN 90 MINUTES. A PASSING SCORE IS GENERALLY SET AT 70%. THE TEST COVERS A VARIETY OF TOPICS RELEVANT TO OPERATIONS, INCLUDING ORDER PROCESSING, TRADE SETTLEMENT, AND RECORDKEEPING. UNDERSTANDING THE EXAM STRUCTURE AND CONTENT IS CRUCIAL FOR TARGETED PREPARATION AND SUCCESS.

PURPOSE AND TARGET AUDIENCE

THE SERIES 99 EXAM PRIMARILY TARGETS INDIVIDUALS IN SUPERVISORY OR OPERATIONAL ROLES WITHIN BROKER-DEALER FIRMS WHO HANDLE CRITICAL BACK-OFFICE FUNCTIONS. THESE PROFESSIONALS ENSURE THAT TRADES ARE ACCURATELY PROCESSED, REGULATORY FILINGS ARE COMPLETED, AND CUSTOMER ACCOUNT INFORMATION IS MAINTAINED PROPERLY. THE EXAM VALIDATES THEIR KNOWLEDGE OF OPERATIONAL PROCEDURES, REGULATORY STANDARDS, AND INDUSTRY BEST PRACTICES. PASSING THE SERIES 99 IS OFTEN A REGULATORY REQUIREMENT FOR CERTAIN POSITIONS, MAKING PREPARATION THROUGH PRACTICE EXAMS AN INDISPENSABLE PART OF THE CERTIFICATION PROCESS.

EXAM FORMAT AND SCORING

THE EXAM FORMAT INCLUDES 50 MULTIPLE-CHOICE QUESTIONS COVERING VARIOUS OPERATIONAL AND REGULATORY TOPICS. CANDIDATES HAVE 90 MINUTES TO COMPLETE THE EXAM, REQUIRING BOTH KNOWLEDGE AND TIME MANAGEMENT SKILLS. EACH QUESTION CARRIES EQUAL WEIGHT, AND THE PASSING SCORE IS SET AT 70%. THE EXAM IS ADMINISTERED ELECTRONICALLY THROUGH FINRA-APPROVED TESTING CENTERS. FAMILIARITY WITH THE QUESTION STYLE AND TIME CONSTRAINTS IS VITAL, WHICH IS WHERE THE BENEFIT OF A FINRA SERIES 99 PRACTICE EXAM BECOMES APPARENT.

IMPORTANCE OF THE FINRA SERIES 99 PRACTICE EXAM

UTILIZING A FINRA SERIES 99 PRACTICE EXAM IS A STRATEGIC APPROACH TO EXAM PREPARATION. PRACTICE EXAMS REPLICATE THE ACTUAL TEST ENVIRONMENT, ALLOWING CANDIDATES TO EXPERIENCE THE QUESTION FORMAT AND TIME LIMITS FIRSTHAND. THIS EXPOSURE REDUCES EXAM-DAY ANXIETY AND HELPS IDENTIFY AREAS THAT REQUIRE ADDITIONAL STUDY. FURTHERMORE, PRACTICE EXAMS PROVIDE IMMEDIATE FEEDBACK, ENABLING LEARNERS TO UNDERSTAND THEIR MISTAKES AND FOCUS ON WEAK TOPICS. THE ITERATIVE PROCESS OF TAKING MULTIPLE PRACTICE EXAMS ENHANCES KNOWLEDGE RETENTION AND BUILDS CONFIDENCE.

BENEFITS OF PRACTICE EXAMS

- **FAMILIARITY WITH EXAM FORMAT:** PRACTICE EXAMS SIMULATE THE REAL TEST STRUCTURE, HELPING CANDIDATES BECOME COMFORTABLE WITH QUESTION TYPES AND TIMING.
- **ASSESSMENT OF KNOWLEDGE GAPS:** THEY HIGHLIGHT AREAS WHERE CANDIDATES NEED IMPROVEMENT, ALLOWING FOR FOCUSED STUDY SESSIONS.
- **IMPROVED TIME MANAGEMENT:** TIMED PRACTICE TESTS TEACH CANDIDATES TO PACE THEMSELVES EFFECTIVELY DURING THE ACTUAL EXAM.
- **BOOSTED CONFIDENCE:** REPEATED PRACTICE BUILDS CONFIDENCE AND REDUCES TEST ANXIETY.
- **REINFORCEMENT OF CONCEPTS:** ANSWER EXPLANATIONS REINFORCE LEARNING AND CLARIFY COMPLEX TOPICS.

HOW PRACTICE EXAMS SUPPORT LEARNING

PRACTICE EXAMS SERVE AS ACTIVE LEARNING TOOLS BY ENGAGING CANDIDATES IN PROBLEM-SOLVING RATHER THAN PASSIVE READING. THEY PROVIDE A PRACTICAL APPLICATION OF THEORETICAL KNOWLEDGE, WHICH ENHANCES COMPREHENSION AND MEMORY. ADDITIONALLY, REVIEWING PRACTICE EXAM RESULTS HELPS LEARNERS ADAPT THEIR STUDY PLANS, ENSURING EFFICIENT USE OF PREPARATION TIME. THIS TARGETED APPROACH IS PARTICULARLY VALUABLE GIVEN THE BROAD SCOPE OF THE SERIES 99 EXAM MATERIAL.

KEY TOPICS COVERED IN THE SERIES 99 EXAM

THE FINRA SERIES 99 PRACTICE EXAM COVERS A WIDE RANGE OF TOPICS ESSENTIAL FOR OPERATIONS PROFESSIONALS. MASTERY OF THESE SUBJECT AREAS ENSURES CANDIDATES ARE WELL-PREPARED TO MANAGE OPERATIONAL RESPONSIBILITIES AND COMPLY WITH REGULATORY STANDARDS. THE MAIN CONTENT AREAS INCLUDE TRADE PROCESSING, SETTLEMENT AND CLEARING, CUSTOMER ACCOUNT MAINTENANCE, COMPLIANCE AND REGULATORY REQUIREMENTS, AND RISK MANAGEMENT.

TRADE PROCESSING AND SETTLEMENT

THIS TOPIC ENCOMPASSES THE PROCEDURES INVOLVED IN THE EXECUTION, CONFIRMATION, AND SETTLEMENT OF SECURITIES TRANSACTIONS. CANDIDATES MUST UNDERSTAND TRADE CAPTURE, MATCHING, AFFIRMATION, AND ALLOCATION PROCESSES. KNOWLEDGE OF SETTLEMENT CYCLES, DELIVERY VERSUS PAYMENT (DVP), AND THE ROLE OF CLEARING CORPORATIONS IS ALSO CRITICAL.

CUSTOMER ACCOUNT MAINTENANCE

CANDIDATES NEED TO BE FAMILIAR WITH THE REQUIREMENTS FOR OPENING, UPDATING, AND CLOSING CUSTOMER ACCOUNTS. THIS INCLUDES UNDERSTANDING CUSTOMER IDENTIFICATION PROGRAMS, ANTI-MONEY LAUNDERING (AML) RULES, AND RECORDKEEPING OBLIGATIONS. PROPER HANDLING OF CUSTOMER FUNDS AND SECURITIES IS EMPHASIZED TO ENSURE REGULATORY COMPLIANCE.

COMPLIANCE AND REGULATORY REQUIREMENTS

REGULATORY KNOWLEDGE IS A CORNERSTONE OF THE SERIES 99 EXAM. TOPICS INCLUDE FINRA RULES, SECURITIES EXCHANGE ACT PROVISIONS, AND VARIOUS COMPLIANCE OBLIGATIONS SUCH AS REPORTING SUSPICIOUS ACTIVITIES AND MAINTAINING ACCURATE BOOKS AND RECORDS. CANDIDATES MUST DEMONSTRATE AWARENESS OF THE REGULATORY FRAMEWORK GOVERNING BROKER-DEALER OPERATIONS.

RISK MANAGEMENT AND INTERNAL CONTROLS

OPERATIONS PROFESSIONALS ARE RESPONSIBLE FOR IDENTIFYING AND MITIGATING RISKS ASSOCIATED WITH SECURITIES TRANSACTIONS AND OPERATIONAL PROCESSES. THIS SECTION COVERS INTERNAL CONTROLS, FRAUD PREVENTION MEASURES, AND THE PROCEDURES FOR ADDRESSING OPERATIONAL ERRORS OR DISCREPANCIES.

EFFECTIVE STUDY STRATEGIES FOR THE SERIES 99 EXAM

SUCCESS ON THE SERIES 99 EXAM REQUIRES A DISCIPLINED AND METHODOICAL STUDY APPROACH. LEVERAGING A FINRA SERIES 99 PRACTICE EXAM AS PART OF A COMPREHENSIVE STUDY PLAN CAN SIGNIFICANTLY ENHANCE PREPARATION. EFFECTIVE STUDY STRATEGIES INCLUDE SETTING A STUDY SCHEDULE, FOCUSING ON HIGH-WEIGHT TOPICS, AND USING A VARIETY OF LEARNING MATERIALS.

CREATING A STUDY SCHEDULE

ESTABLISHING A STRUCTURED STUDY SCHEDULE ENSURES CONSISTENT PROGRESS AND HELPS COVER ALL EXAM TOPICS THOROUGHLY. ALLOCATING SPECIFIC TIME BLOCKS FOR READING, PRACTICE EXAMS, AND REVIEW SESSIONS ENABLES BALANCED PREPARATION WITHOUT LAST-MINUTE CRAMMING. REGULAR STUDY INTERVALS PROMOTE BETTER RETENTION AND REDUCE STRESS.

FOCUSING ON KEY TOPICS

PRIORITIZING STUDY TIME ON TOPICS THAT FREQUENTLY APPEAR ON THE EXAM OR THOSE FOUND CHALLENGING THROUGH PRACTICE EXAMS MAXIMIZES EFFICIENCY. CANDIDATES SHOULD PAY CLOSE ATTENTION TO REGULATORY REQUIREMENTS, TRADE PROCESSING PROCEDURES, AND COMPLIANCE ISSUES, AS THESE ARE CRITICAL AREAS FOR THE SERIES 99 EXAM.

UTILIZING MULTIPLE STUDY RESOURCES

COMBINING TEXTBOOKS, ONLINE COURSES, FLASHCARDS, AND FINRA SERIES 99 PRACTICE EXAM QUESTIONS PROVIDES A WELL-ROUNDED UNDERSTANDING OF THE MATERIAL. DIFFERENT FORMATS CATER TO VARIOUS LEARNING STYLES AND REINFORCE KNOWLEDGE THROUGH REPETITION AND ACTIVE ENGAGEMENT.

RESOURCES FOR SERIES 99 PRACTICE EXAMS

ACCESS TO QUALITY PRACTICE EXAMS IS CRUCIAL FOR THOROUGH PREPARATION. SEVERAL RESOURCES OFFER FINRA SERIES 99 PRACTICE EXAM QUESTIONS AND SIMULATED TESTS DESIGNED TO MIRROR THE ACTUAL EXAM ENVIRONMENT. CHOOSING REPUTABLE SOURCES ENSURES THAT QUESTIONS ARE ACCURATE, UP-TO-DATE, AND REFLECTIVE OF THE CURRENT EXAM CONTENT.

OFFICIAL AND THIRD-PARTY PRACTICE EXAMS

WHILE FINRA ITSELF DOES NOT PROVIDE AN OFFICIAL SERIES 99 PRACTICE EXAM, NUMEROUS THIRD-PARTY PROVIDERS OFFER COMPREHENSIVE PRACTICE TESTS. THESE RESOURCES OFTEN INCLUDE DETAILED ANSWER EXPLANATIONS, PERFORMANCE TRACKING, AND CUSTOMIZABLE QUIZZES. SELECTING PRACTICE EXAMS THAT ALIGN CLOSELY WITH THE LATEST FINRA EXAM OUTLINE IS RECOMMENDED.

STUDY GUIDES AND QUESTION BANKS

IN ADDITION TO FULL-LENGTH PRACTICE EXAMS, QUESTION BANKS AND STUDY GUIDES ARE VALUABLE TOOLS. THEY ALLOW CANDIDATES TO TARGET SPECIFIC TOPICS AND REINFORCE UNDERSTANDING THROUGH REPEATED EXPOSURE TO KEY CONCEPTS. MANY STUDY GUIDES ALSO INCLUDE TEST-TAKING TIPS AND STRATEGIES TAILORED TO THE SERIES 99 EXAM.

ONLINE FORUMS AND STUDY GROUPS

PARTICIPATING IN ONLINE FORUMS AND STUDY GROUPS CAN PROVIDE ADDITIONAL SUPPORT AND INSIGHTS. CANDIDATES CAN SHARE EXPERIENCES, CLARIFY DOUBTS, AND GAIN ACCESS TO SUPPLEMENTARY PRACTICE QUESTIONS. COLLABORATIVE LEARNING ENVIRONMENTS OFTEN ENHANCE MOTIVATION AND ACCOUNTABILITY DURING EXAM PREPARATION.

FREQUENTLY ASKED QUESTIONS

WHAT IS THE FINRA SERIES 99 EXAM?

THE FINRA SERIES 99 EXAM, ALSO KNOWN AS THE OPERATIONS PROFESSIONAL QUALIFICATION EXAM, IS DESIGNED TO TEST THE KNOWLEDGE AND COMPETENCY OF INDIVIDUALS IN OPERATIONS ROLES WITHIN BROKER-DEALER FIRMS.

WHO NEEDS TO TAKE THE FINRA SERIES 99 EXAM?

INDIVIDUALS WHO PERFORM OPERATIONAL FUNCTIONS AT A BROKER-DEALER, SUCH AS CLEARING, SETTLEMENT, AND OTHER BACK-OFFICE RESPONSIBILITIES, ARE REQUIRED TO TAKE THE SERIES 99 EXAM.

WHERE CAN I FIND RELIABLE FINRA SERIES 99 PRACTICE EXAMS?

RELIABLE SERIES 99 PRACTICE EXAMS CAN BE FOUND ON FINRA'S OFFICIAL WEBSITE, REPUTABLE TEST PREP COMPANIES, AND ONLINE PLATFORMS SPECIALIZING IN SECURITIES LICENSING EXAM PREPARATION.

HOW MANY QUESTIONS ARE ON THE FINRA SERIES 99 PRACTICE EXAM?

THE ACTUAL FINRA SERIES 99 EXAM CONSISTS OF 50 MULTIPLE-CHOICE QUESTIONS, AND MOST PRACTICE EXAMS MIRROR THIS FORMAT TO HELP CANDIDATES PREPARE EFFECTIVELY.

WHAT TOPICS ARE COVERED IN THE FINRA SERIES 99 PRACTICE EXAM?

TOPICS INCLUDE OPERATIONAL FUNCTIONS SUCH AS TRADE CAPTURE, CLEARANCE, SETTLEMENT, CUSTOMER ACCOUNT MAINTENANCE, REGULATORY REQUIREMENTS, AND COMPLIANCE PROCEDURES.

HOW CAN PRACTICING WITH SERIES 99 EXAMS IMPROVE MY TEST PERFORMANCE?

PRACTICING WITH SERIES 99 EXAMS HELPS FAMILIARIZE CANDIDATES WITH THE QUESTION FORMAT, IDENTIFY KNOWLEDGE GAPS, IMPROVE TIME MANAGEMENT, AND BUILD CONFIDENCE BEFORE TAKING THE ACTUAL TEST.

ARE THERE FREE RESOURCES AVAILABLE FOR THE FINRA SERIES 99 PRACTICE EXAM?

YES, MANY WEBSITES OFFER FREE PRACTICE QUESTIONS AND SAMPLE EXAMS FOR THE SERIES 99, ALTHOUGH PAID RESOURCES OFTEN PROVIDE MORE COMPREHENSIVE STUDY MATERIALS.

HOW OFTEN SHOULD I TAKE PRACTICE EXAMS BEFORE SITTING FOR THE FINRA SERIES 99?

IT'S RECOMMENDED TO TAKE MULTIPLE PRACTICE EXAMS REGULARLY DURING YOUR STUDY PERIOD TO TRACK PROGRESS AND REINFORCE UNDERSTANDING, IDEALLY STARTING SEVERAL WEEKS BEFORE THE TEST DATE.

ADDITIONAL RESOURCES

1. *FINRA SERIES 99: OPERATIONS PROFESSIONAL QUALIFICATION EXAM PRACTICE QUESTIONS*

THIS BOOK OFFERS A COMPREHENSIVE SET OF PRACTICE QUESTIONS TAILORED SPECIFICALLY FOR THE FINRA SERIES 99 EXAM. IT COVERS KEY TOPICS SUCH AS CLEARING, SETTLEMENT, AND OPERATIONAL FUNCTIONS WITHIN BROKER-DEALER FIRMS. THE EXPLANATIONS PROVIDED HELP REINFORCE CONCEPTS AND IMPROVE TEST-TAKING STRATEGIES, MAKING IT AN ESSENTIAL RESOURCE FOR CANDIDATES PREPARING FOR THE EXAM.

2. *SERIES 99 EXAM PREP: PRACTICE TESTS AND REVIEW FOR THE FINRA OPERATIONS PROFESSIONAL QUALIFICATION EXAM*

DESIGNED TO SIMULATE THE ACTUAL SERIES 99 EXAM ENVIRONMENT, THIS BOOK PROVIDES MULTIPLE PRACTICE TESTS ALONG WITH DETAILED ANSWER EXPLANATIONS. IT FOCUSES ON OPERATIONAL RULES, REGULATORY REQUIREMENTS, AND INDUSTRY PRACTICES PERTINENT TO FINRA OPERATIONS PROFESSIONALS. THE REVIEW SECTIONS CLARIFY COMPLEX TOPICS AND HELP CANDIDATES IDENTIFY AREAS NEEDING FURTHER STUDY.

3. *MASTERING THE SERIES 99 EXAM: A COMPLETE STUDY GUIDE AND PRACTICE TEST BOOK*

THIS GUIDE COMBINES A THOROUGH REVIEW OF SERIES 99 EXAM CONTENT WITH PRACTICE QUESTIONS TO ENHANCE UNDERSTANDING. IT BREAKS DOWN ESSENTIAL CONCEPTS SUCH AS TRADE PROCESSING, CUSTOMER ACCOUNT HANDLING, AND COMPLIANCE REGULATIONS. CANDIDATES WILL FIND CLEAR EXPLANATIONS AND PRACTICAL TIPS TO BOOST THEIR CONFIDENCE AND EXAM READINESS.

4. *FINRA SERIES 99 OPERATIONS PROFESSIONAL EXAM FLASHCARDS*

IDEAL FOR ON-THE-GO STUDY, THIS FLASHCARD SET COVERS CRITICAL TERMS, RULES, AND PROCEDURES TESTED ON THE SERIES 99 EXAM. EACH CARD PRESENTS A QUESTION OR CONCEPT ON ONE SIDE AND A CONCISE EXPLANATION ON THE OTHER. THIS FORMAT HELPS REINFORCE MEMORY RETENTION AND QUICK RECALL OF IMPORTANT INFORMATION.

5. *SERIES 99 OPERATIONS PROFESSIONAL STUDY GUIDE: COMPREHENSIVE REVIEW AND PRACTICE QUESTIONS*

THIS STUDY GUIDE PROVIDES AN IN-DEPTH REVIEW OF THE FUNDAMENTAL TOPICS COVERED IN THE SERIES 99 EXAM, INCLUDING TRADE REPORTING, SETTLEMENT PROCESSES, AND OPERATIONAL RISK MANAGEMENT. IT INCLUDES NUMEROUS PRACTICE QUESTIONS WITH EXPLANATIONS TO HELP CANDIDATES ASSESS THEIR KNOWLEDGE. THE STRUCTURED CONTENT IS DESIGNED FOR BOTH BEGINNERS AND THOSE SEEKING TO REFRESH THEIR EXPERTISE.

6. *PASSING THE FINRA SERIES 99 EXAM: STRATEGIES AND PRACTICE QUESTIONS*

FOCUSING ON EFFECTIVE STUDY STRATEGIES, THIS BOOK OFFERS ADVICE ON HOW TO APPROACH THE SERIES 99 EXAM ALONG WITH PRACTICE QUESTIONS THAT MIRROR THE EXAM'S STYLE. IT EMPHASIZES TIME MANAGEMENT, QUESTION ANALYSIS, AND UNDERSTANDING KEY REGULATIONS. THE RESOURCE IS BENEFICIAL FOR THOSE AIMING TO MAXIMIZE THEIR EXAM PERFORMANCE.

7. *FINRA SERIES 99 EXAM SECRETS STUDY GUIDE*

THIS STUDY GUIDE REVEALS INSIDER TIPS AND IMPORTANT CONCEPTS NECESSARY TO PASS THE SERIES 99 EXAM. IT OUTLINES THE EXAM STRUCTURE, KEY CONTENT AREAS, AND COMMON PITFALLS TO AVOID. PRACTICE QUESTIONS AND DETAILED ANSWER RATIONALES HELP SOLIDIFY UNDERSTANDING AND IMPROVE TEST-TAKING SKILLS.

8. *OPERATIONS PROFESSIONAL QUALIFICATION SERIES 99: EXAM REVIEW AND PRACTICE QUESTIONS*

THIS BOOK DELIVERS A FOCUSED REVIEW OF THE OPERATIONAL DUTIES AND REGULATORY KNOWLEDGE REQUIRED FOR THE SERIES 99 EXAM. IT INTEGRATES PRACTICE QUESTIONS WITH TOPIC SUMMARIES TO FACILITATE COMPREHENSIVE LEARNING. THE MATERIAL IS DESIGNED TO HELP CANDIDATES BUILD A STRONG FOUNDATION AND CONFIDENTLY APPROACH THE EXAM.

9. *THE ULTIMATE FINRA SERIES 99 PRACTICE TEST BOOK*

FEATURING MULTIPLE FULL-LENGTH PRACTICE EXAMS, THIS BOOK ENABLES CANDIDATES TO EXPERIENCE REALISTIC TEST CONDITIONS. IT INCLUDES DETAILED ANSWER KEYS WITH EXPLANATIONS TO CLARIFY COMPLEX TOPICS RELATED TO CLEARING, SETTLEMENT, AND OPERATIONAL COMPLIANCE. THIS RESOURCE IS PERFECT FOR FINAL EXAM PREPARATION AND SELF-ASSESSMENT.

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